Applicants: Bender, Theodore M.; et. al.

Assignee: Cardica, Inc.

Title: Tool for Creating an Opening in Tissue

Serial No.: 10/659,057 Filing Date: September 9, 2003

Examiner: Michael G. Mendoza Group Art Unit: 3734

Docket No.: 143

Transmitted herewith are the following documents in the above-identified application:

(1) This Transmittal Letter;

- (2) Form PTO-1449 and references; and
- (3) Information Disclosure Statement.

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Certificate of Transmission

I hereby certify that this correspondence is being electronically transmitted to the U.S Patent and Trademark Office via EFS-Web on June 1, 2007.

/Brian A. Schar, Esq./

Brian A. Schar, Esq.

Respectfully submitted,

/Brian A. Schar, Esq./

Brian A. Schar, Esq.

Reg. No. 45,076

Director of Intellectual Property

Cardica, Inc.

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(650) 331-7162

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the references listed on the accompanying form PTO-1449 are brought to the attention of the Examiner. The source of those references is a counterpart PCT application to the present application.

Respectfully submitted, /Brian A. Schar, Esq./

Brian A. Schar, Esq. Reg. No. 45,076 Director of Intellectual Property Cardica, Inc. 900 Saginaw Drive Redwood City, CA 94063